

Questionnaire for E-Gov Presidential Initiative or Line of Business Standards Selection Process

Background:

Each E-Gov Presidential Initiative and Line of Business (LOB) requires the use of standards for deployment of innovative, web-based information technology (IT) services. Standards are required to ensure the necessary interoperability and security between government agencies, businesses, and citizens. Such standards are generally private sector, consensus-based standards. In cases where no suitable private sector standards are available, USG may develop and adopt government unique standards.

The Office of Management and Budget (OMB) has recognized the need to identify and validate appropriate and effective standards for E-Gov applications to ensure that the most effective standards are used in E-Gov applications and has assigned this responsibility to NIST. The lack of uniform, effective standards can lead to problems in internet accessibility, data compatibility, as well as national security and personal privacy concerns. NIST has established this E-Gov validation process to address such concerns and to assist federal agencies in the selection of appropriate E-Gov standards.

Instructions:

Please complete the questionnaire in its entirety and forward to:

Mary H. Saunders, Chief
Standards Services Division
100 Bureau Drive, MS 2100
Gaithersburg, MD 20899-2100

email: *mary.saunders@nist.gov*
fax: 301-975-4715

If you have any questions regarding the questionnaire you can contact David Alderman on 301-975-4019 (*david.alderman@nist.gov*) or Maureen Breitenberg on 301-975-4031 (*maureen.breitenberg@nist.gov*).

1. Title of E-Gov Initiative or Line of Business:

Budget Formulation and Evaluation Line of Business

2. Initiative / LOB website:

<http://www.whitehouse.gov/omb/egov/c-6-7-bfe.html>

<https://max.omb.gov/maxportal/budgetLineOfBusiness.do>

3. Name and contact information for responsible Project Manager:

Sandi McCabe, BFELoB PMO, Department of Education

Sandi.Mccabe@ed.gov, (202) 401-1847

4. Name and contact information for questions regarding standards used in this initiative/LOB (if different from above):

Mark Dronfield, BFELoB PMO, Mark.Dronfield@ed.gov, (202) 260-7883

Phil Wenger, OMB, pwenger@omb.eop.gov, (202) 395-5155

5. Describe the process that was undertaken to choose and/or develop the standards required to implement the objectives of the Initiative or LOB:

The definitive substantive standard document for Federal Budget Formulation and Execution is OMB Circular A-11. Standards proposed by the BFELoB will become government-wide standards through the annual A-11 update process managed by OMB's Budget Review Division.

BFELoB standards that interact with Federal financial management will be coordinated through OMB's Office of Federal Financial Management and the Financial Systems Integration Office (FSIO) and Financial Management Line of Business (FMLoB).

The BFELoB is currently developing a set of business process architecture artifacts that represent common budget execution activities across the Federal government. These artifacts will help to form the basis for future standards developed for budget execution.

We are presently collaborating with the Financial Management Line of Business (FMLoB) on several developing standards that touch or overlap budget execution:

Funds Control Management

Common Government Accounting Code (CGAC)

Reimbursables

These standards are currently under development and we are also working with the FMLoB on a sequencing strategy to implement the new standards.

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6. When determining standards needs were consensus standards given first consideration? If not, why?

Yes

7. Describe the process used to ensure that input from all appropriate stakeholders, public and private, was considered?

BFELoB is a voluntary collaboration of agency budget offices. Work products are generated by working groups consisting of volunteers from various agencies, and are then vetted through the BFELoB Task Force.

8. Please list participating federal agencies involved in selecting or developing and implementing standards for the initiative/LOB:

All federal agencies are invited to participate.

9. Please list participating state or local governments agencies involved in developing and implementing standards for the initiative/LOB:

We do not anticipate involving state and local government agencies.

10. Please list participating private sector organizations involved in developing and implementing standards for the initiative/LOB:

Most of the BFELoB activities are inherently governmental. Where private sector input is appropriate and can provide value, we will seek that input.

11. Please list participating voluntary consensus standards bodies involved in developing and implementing standards for the initiative/LOB:

The BFELoB will collaborate with voluntary consensus standards bodies as appropriate.

12. Please provide a list of standards relevant to the development and implementation of the initiative/LOB. (Please include the standard's designation, full name, date, and if available, the URL to the standard)

The BFELoB has not yet developed or chosen standards, though we expect to adopt data standards and data transfer standards, particularly those related to the collection and transfer of federal budget data.

To the extent possible, BFELoB pilot applications have been developed using open consensus standards such as SQL, HTTP, XML, etc.